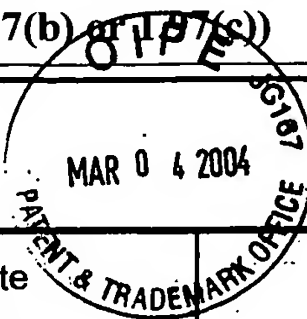


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14356Z

In Re Application: Mikio ODA, et al.



Serial No.

10/681,019

Filing Date

Feb. 28, 2001

Examiner

Unassigned

Group Art Unit

2812

**OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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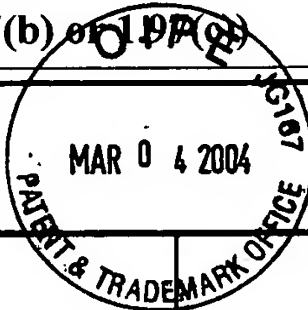
PJE:ae

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Dated: March 1, 2004

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) of 1997)**Docket No.  
14356Z

In Re Application Of: Mikio ODA, et al.

Serial No.  
10/681,019Filing Date  
October 8, 2003Examiner  
UnassignedGroup Art Unit  
2812

Title: OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Mikio Oda, et al.

**Examiner:** Unassigned

**Serial No:** 10/681,019

**Art Unit:** 2812

**Filed:** October 8, 2003

**Docket:** 14356Z

**For:** OPTICAL CIRCUIT  
IN WHICH FABRICATION IS EASY

**Dated:** March 1, 2004

**Confirmation No.** 6178

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent 5,450,246 dated September 12, 1995 to Kanti Jain.
2. U.S. Patent 5,684,616 dated November 4, 1997 to Gregory R. Brotz.
3. U.S. Patent 6,583,914 dated June 24, 2003 to Muller et al.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 1, 2004.

Dated: March 1, 2004


  
\_\_\_\_\_  
Paul J. Esatto, Jr.

The references were cited in an Office Action dated August 18, 2003 received in a related U.S. Patent Application, namely U.S. S.N. 10/028,238. Applicants are submitting a copy of the Office Action.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

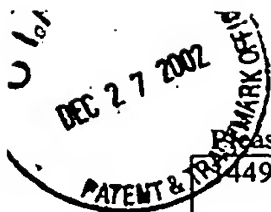
Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

  
Paul J. Esatto, Jr.  
Registration No. 30,749

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PJE:ae



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U.S. Department of Commerce  
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Application No. : 10/028,238  
Filing Date : December 21, 2001

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

First Named Inventor: M. ODA  
Group Art Unit : 2873  
Examiner Name : J.T. STULTZ  
Attorney Docket No. : NEKU 19.296

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

**FOREIGN DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
JS	—	64-059303	JP	NIPPON TELEGR & TELEPH CORP <NTT>	03/07/1989	1 TECHNOLOGY CENTER 2800

**Other Prior Art-Non Patent Literature Documents**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check her if English language translation attached
Examiner Signature	Jessie Sts	Date Considered	7/24/03

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1<sup>6</sup> if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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